

✓ SEBI UPDATE
JAN 2026

Missed the Deadline to Transfer Shares? SEBI Opens Another Special Window



OPENING OF ANOTHER SPECIAL WINDOW FOR RE-LODGE MENT OF TRANSFER REQUEST FOR PHYSICAL SHARES

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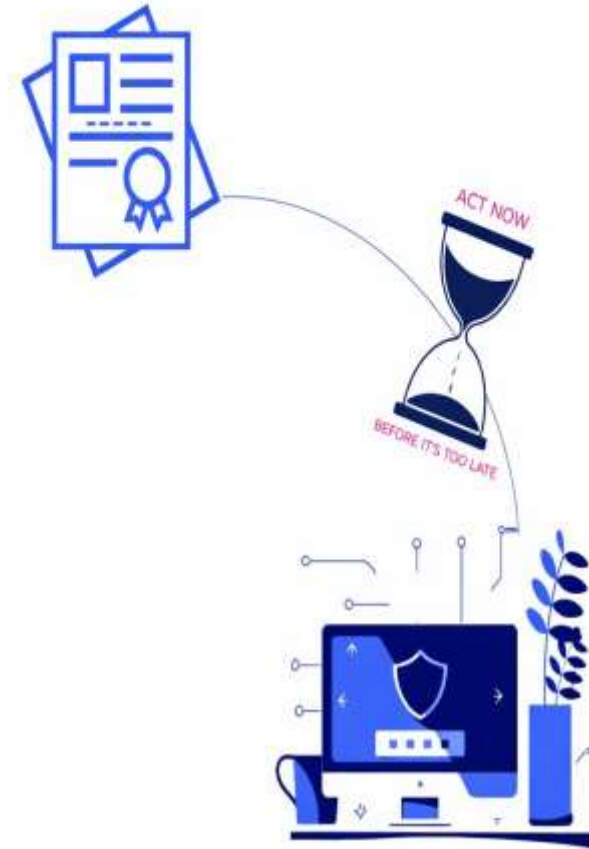


I'm really stressed. My physical share transfer was rejected years ago, and I missed the last re-lodgement window.

Don't panic! SEBI has launched another special window.

- ✓ **By opening another window, SEBI aims to:**
- Provides investors a fair opportunity to secure rightful ownership of shares.
 - Enables completion of pending or earlier rejected transfers.
 - Encourages holding securities in demat form.
 - Promotes ease of investing and simpler processes.
 - Helps reduce future disputes
 - Ensures better investor protection through a transparent, electronic system

SEBI's Another Special Window for Relodgement



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Window opens from
February 05, 2026
to February 04,
2027

Applicability

Execution Date of Transfer Deed	Lodged for transfer before April 01, 2019?	Original Security Certificate Available?	Eligible to lodge in the current window?
Before April 01, 2019	No (it is fresh lodgement)	Yes	✓
Before April 01, 2019	Yes (it was rejected/ returned earlier)	Yes	✓
Before April 01, 2019	Yes	Yes	X
Before April 01, 2019	No	No	X



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Important points to note

- ✓ Securities will be credited only in demat form
- ✓ One-year lock-in from the date of Registration of transfer
- ✓ No sale, pledge, or lien marking allowed during lock-in

Cases not covered under this window

- ✓ securities which have been transferred to Investor Education and Protection Fund (IEPF)
- ✓ Cases involving disputes between transferor and transferee and may be settled by transferor and transferee through court/NCLT process.

INELIGIBLE



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Interesting! Can you
brief me with the
conditions I need to
fulfill in order to apply
for this scheme?



- ✓ Original security certificate(s)
- ✓ Transfer deed executed prior to April 01, 2019
- ✓ Proof of purchase by transferee, as may be available
- ✓ KYC documents of the transferee (as per (ISR forms))
- ✓ [Undertaking Cum Indemnity](#)  Double click to view Format
- ✓ Latest Client Master List ('CML'), not older than 2 months, of the demat account of the transferee, duly attested by the Depository Participant

Firstly, you must have an
active **DEMAT ACCOUNT**.
And you need to mandatorily
submit these documents:



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Obligations on Listed Companies/RTAs/Depositories

- ❖ **Verify** PAN, identity, and address of transferor and transferee.
- ❖ Resolve **name mismatches** using valid supporting documents.
- ❖ Carry out **signature verification**.
- ❖ Allow legal heirs to claim securities in case of transferee's death as per the transmission procedure.
- ❖ **Issue newspaper advertisement**, in case of non-delivery of the objection memo to the transferor, non-cooperation by / inability / non-traceability of the transferor / non-availability of any document and provide a **30-day objection period** before effecting transfer
- ❖ A **minimal fees** for the advertisement may be charged from Investor.
- ❖ Credit securities only in demat form with **one-year lock-in**
- ❖ **Inform** depositories about lock-in status
- ❖ Continue lock-in in case of **fraud detection** until further instructions
- ❖ Complete transfer requests **within 70 days** of complete documentation

The Listed entity shall publicize the opening of the window ***once every two months*** during the period



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HELP AND SUPPORT



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